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Due Date: November 27, 2001 02-28-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Rebecca Lau Poole et al.	Examiner:	Not Yet Assigned
Serial No.:	09/939,813	Group Art Unit:	2671
Filed:	August 27, 2001	Docket:	STL920000084US1
Title:	TOPOLOGICAL MULTI-TIER BUSINESS APPLICATION COMPOSER		

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CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on October 23, 2001.

By: _____
Name: George H. Gates

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Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing under 37 CFR 1.8.
- ☒ Information Disclosure Statement and Form PTO-1449.
- ☒ Cited References.
- ☒ Return postcard.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0494 of Gates & Cooper LLP. A duplicate of this paper is enclosed.

GATES & COOPER LLP
Howard Hughes Center
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045
(310) 641-8797

By: _____
Name: George H. Gates
Reg. No.: 33,500
GHG/tah

(PTO TRANSMITTAL - GENERAL)

(G&C 30571.264-US-01)

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INFORMATION DISCLOSURE STATEMENT(37 C.F.R. §1.97(b))

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within 3-months of the filing date of the above-identified application. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached From 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

Rebecca Lau Poole et al.

By their attorneys,

GATES & COOPER LLP
6701 Center Drive West, Suite 1050
Los Angeles, California 90045
(310) 641-8797

Date: October 23, 2001

By: _____
Name: George H. Gates
Reg. No.: 33,500

GHG/tah

Date Mailed: October 23, 2001

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Form 1449*	Docket Number: ST9-98-006	Application Number: 09/070,274
INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION	Applicant: Kenneth R. Blackman et al.	
	Filing Date: April 30, 1998	Group Art Unit: 2671

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,987,247	11/16/99	Lau			
	6,009,525	12/28/99	Horstmann			
	6,012,067	01/04/00	Sarkar			
	6,178,418	01/23/01	Singer			

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FOREIGN PATENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO
	WO 00/34911	06/15/00	PCT	G06F	17/60		
	WO 99/33007	07/01/99	PCT	G06F	17/30		

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Business Process Framework. Research Disclosure No. 429132 January 2000 pgs. 169 - 170.
		Interface for Creating Custom, End-user, Role based Application Interface. Research Disclosure No. 438137 October 2000 pgs. 1847.
		Managing Classes and Interfaces of an Enterprise JavaBean Component. Research Disclosure 42182 May 1999 pgs. 668 - 9.
		Shrivastava, S.K.; Wheater, S.M. Architectural Support for Dynamic Reconfiguration of Distributed Workflow Applications. Fourth International Conference on Configurable Distributed Systems (Cat. No. 98EX159) Annapolis, MA USA May 4 - 6 1998. IEEE Proceedings-Software, Vol. 145, No. 5 pp 155 - 162, Oct 1998. (Abstract only)
		Popp, K; G. Keller. Composition of Manufacturing Application Systems from Components - a central Architectural Problem of Componentware Application. Informationssystem-Architekturen, Vol. 3, No. 1 pp. 70 -72, Sept. 1996.
		Roch, M.C.; P.A. Muller; G. Metzger; B. Thirion. Modelling An Automatic Composition Application with UML. Dixiemes Journees Internationales 'Le Genie Logiciel at ses Applications (10th International Conference on Software Engineering and its Applications), Paris, France, Dec. 3 - 5 1997, Genie Logiciel, No. 46, pp 134- 138.

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

*Substitute Disclosure Statement Form (PTO-1449)

G&C 30571.164-US-01